U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

941-012603-US (PAR)

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/586,568

INTERNATIONAL APPLICATION NO. PCT/EP04/01597

INTERNATIONAL FILING DATE 19 FEBRUARY 2004

PRIORITY DATE CLAIMED

TIT	L	ΕO	FI	NV	ENT	ION
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# MOBILE COMMUNICATION TERMINAL WITH LIGHT EFFECTS EDITOR

APPLICANT(S) FOR DO/EO/US LUTZ ABE, ET AL Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Approved for use through 3/31/2007. OMB 0651-0021
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U.S. APPLICATI	ON NO. (if know	n, see 37 CFR 1.5	) INTERNATIONAL AF	INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/586,568 PCT/EP04/01597					941-012603-US (PAR)		
The follo	wing fees have l	CALCULATIONS	PTO USE ONLY				
21. 🔲 Basid	Basic national fee \$300						
If International pr	(1)-(4)	\$ 200.00					
Search fee (37 C	nal Searching Au ch Report prepa	\$ 400.00					
	TOTAL OF 21, 2	\$ 600.00					
Additional fee	for specification ting or computer 50 for each addi						
Total Sheets	Extra Sheets		n additional 50 or fraction up to a whole number)	RATE			
X -100 =	/50 =	=		× \$250	\$		
Surcharge of \$13 claimed priority da			ration later than 30 months fr	om the earliest	\$ 130.00		
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$		
Total claims	53	- 20 =	33	x \$ 50	\$ 1650.00		
Independent clain	ns 4	- 3 =	1	× \$200	\$ 200.00		
MULTIPLE DEPE	NDENT CLAIM(S	S) (if applicable)		+ \$360	\$		
-			TOTAL OF ABOVE	CALCULATIONS =	\$ 2580.00		
Applicant clai	ms small entity s	tatus. See 37 CFR	1.27. Fees above are reduc	ed by 1/2.			
		<del> </del>	· · · · · · · · · · · · · · · · · · ·	SUBTOTAL =	\$ 2580.00		
Processing fee of claimed priority da		hs from the earliest +	\$				
			TOTAL	NATIONAL FEE =	\$ 2580.00		
Fee for recording by an appropriate		st be accompanied +	\$				
			TOTAL F	EES ENCLOSED =	\$ 2580.00		
		Amount to be refunded:	\$				
					Amount to be charged:	\$	
a. A check	in the amount of	f\$	to cover the abo	ve fees is enclosed.			
a. A check in the amount of \$ to cover the above fees is enclosed.  b. Please charge my Deposit Account No. 161350 in the amount of \$_2580.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 161350							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Geza C. Ziegle	r, Jr.	repe (1.	······································				
PERMAN & GI	REEN, LLP	egler, Jr.					
425 Post Road	0004	- 3.0., 0					
Fairfield, CT 0	b824						
203/259-1800	512	N NUMBER					



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/586,568 Lutz Abe 941.012603-US (PAR) INTERNATIONAL APPLICATION NO. PCT/EP04/01597 2512 I.A. FILING DATE PRIORITY DATE PERMAN & GREEN 02/19/2004 US ACTION 425 POST ROAD DUE DATE FAIRFIELD, CT 06824 PAPER DATED **CONFIRMATION NO. 6523** OA FINAL 371 FORMALITIES LETTER MSG PT DWG APPEAL ISSUE FEE OTHER VI OC000000022681658\*

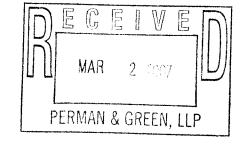
# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/18/2006
- Copy of the International Search Report filed on 07/18/2006
- Preliminary Amendments filed on 07/18/2006
- Information Disclosure Statements filed on 07/18/2006
- U.S. Basic National Fees filed on 07/18/2006
- Specification filed on 07/18/2006
- Claims filed on 07/18/2006

Date Mailed: 02/28/2007

- Abstracts filed on 07/18/2006
- Drawings filed on 07/18/2006



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$1850 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
  missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$2580 for a Large Entity:

- \$130 Surcharge.
  - The application search fee has not been paid. Applicant must submit \$400 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
  - The application examination fee has not been paid. Applicant must submit \$200 to complete the examination fee for a non-small entity. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- Total additional claim fee(s) for this application is \$ 1850
  - \$200 for 1 independent claims over 3.
  - \$1650 for 33 total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/Authenticate/SerLocalEPF.html">https://sportal.uspto.gov/authenticate/Authenticate/SerLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/586,568	PCT/EP04/01597	941.012603-US (PAR)

FORM PCT/DO/EO/905 (371 Formalities Notice)